

## Universal Hash function

A set  $H$  of hash functions that satisfy the following property for all pairs  $x, y \in \mathcal{U}$  (universe)

$$\sum_{h \in H} \delta_h(x, y) \leq \frac{c |H|}{m} \quad \text{for some constant } c$$

$$\delta_h(x, y) = \begin{cases} 1 & \text{if } h(x) = h(y) \\ 0 & \text{otherwise} \end{cases}$$

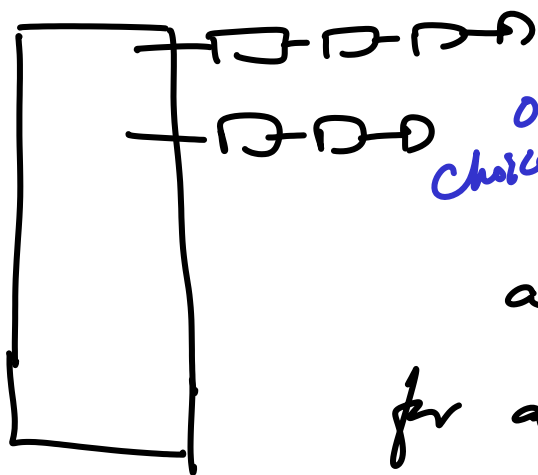
*collision function*

$m$  = size of hash Table locations  
 $\{0, 1, 2, \dots, m-1\}$

What is the probability that  $x, y$  collide for a randomly chosen  $h$ ?

$$\leq \frac{c}{m}$$

For any arbitrary subset  $S \subseteq U$   
 $|S| = n$ , and we choose a random  
 hash function from  $H$ , what is the  
 "expected" performance?



overhead  
 choice of  $\uparrow$

Suppose the  
expected length of

a chain is  $l$ . Then

for a sequence of operations  
 on  $S$  involving {search, insert, del}

-the expected time for any operation  
 is  $l \Rightarrow$  for  $T$  operations, the  
 expected time is  $T \cdot l$  (linearity of  
 Expectation)

Given  $x \in S$ , we want to bound the expected no. of elements  $y \in S$  that collide with  $x$ .

$E$  [No. of elements  $y \in S$  that collide with  $x$ ] =

$$E \left[ \sum_{y \in S} \delta_h(x, y) \right]$$

choice of  $h$

$$\frac{1}{|H|} \left[ \sum_{h \in H} \sum_{y \in S} \delta_h(x, y) \right]$$

$$= \frac{1}{|H|} \sum_{y \in S} \sum_{h \in H} \delta_h(x, y)$$

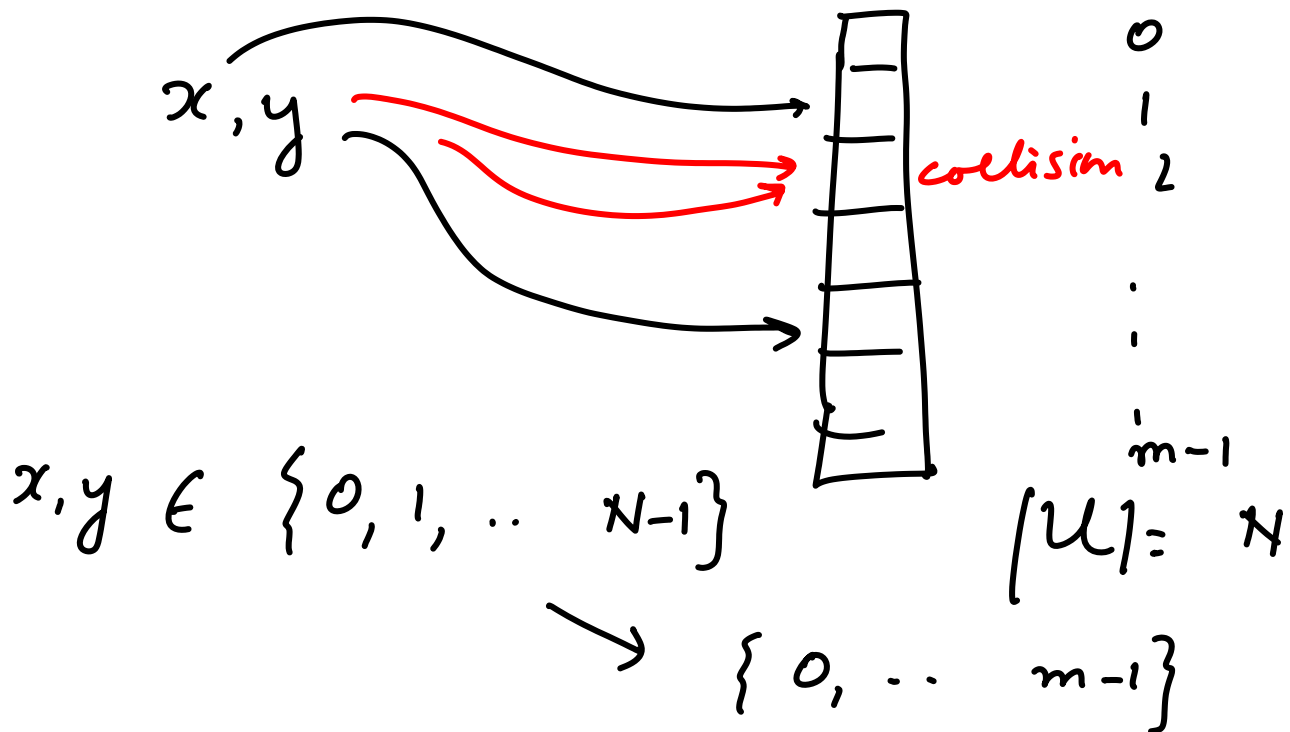
$$= \sum_{y \in S} \underbrace{\frac{1}{|H|} \sum_{h \in H} \delta_h(x, y)}_{\frac{c}{m}} \leq \sum_{y \in S} \frac{c}{m}$$

from the defn of Univ hashfn

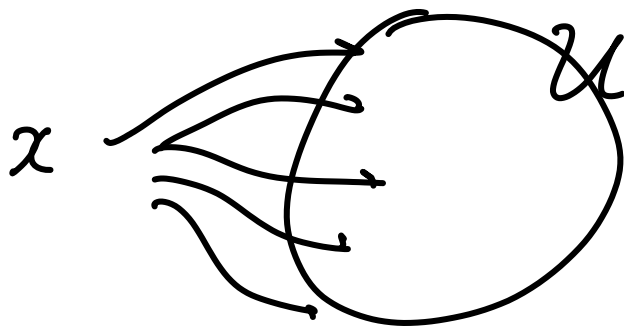
$$\leq n \cdot \frac{c}{m} \quad \frac{n}{m} : \text{loading factor}$$

$$= O(1) \text{ for } n = m$$

# Existence of Universal Hash functions?



If  $x$  can be mapped to the location  $\{0 \dots m-1\}$  randomly, then the property of universal function can be satisfied



Add a random no.  $a$  to  $x$

$a \in \{0, 1, \dots, N-1\}$

$$x \rightarrow (x+a) \bmod N \bmod m \rightarrow \{0, 1, \dots, m-1\}$$

$$h_a(x) = (x+a) \bmod N \bmod m$$

$$|H| = N$$

$$\forall x, y \quad \sum_{h \in H} \delta_h(x, y) \stackrel{?}{\leq} \frac{c|H|}{m} = \frac{cN}{m}$$

for some constant  $c$

For how many  $a$ 's  $\delta_a(x) = \delta_a(y)$

$$\left[ \begin{array}{c} (x+a) \bmod N \\ x' \end{array} \right] \bmod m \equiv \left[ \begin{array}{c} (y+a) \bmod N \\ y' \end{array} \right] \bmod m$$

$$x' \equiv_m y' \Rightarrow x' - y' \equiv_m 0$$

$$x', y' \in \{0, 1, \dots, N-1\}$$

$$x' - y' \in \left\{ 0, \pm m, \pm 2m, \dots, \pm \left\lfloor \frac{N}{m} \right\rfloor m \right\}$$

$$x' - y' = km \Rightarrow x + a \equiv_N y + a + km$$

$$\Rightarrow x \equiv_N y + km \quad \text{so } x \text{ and } y \text{ will}$$

collide for all choices of  $a$ , i.e. it is not universal if  $(x - y = km) \bmod N$

Let us choose instead  $h_a(x) = (a \cdot x \bmod N) \bmod m$   
for  $a \neq 0$ ,  $N$  is prime

Then  $x' \equiv_m y' \Rightarrow x \cdot a \equiv y \cdot a + km \bmod N$

$$\Rightarrow (x - y) a \equiv_N km \Rightarrow a = (x - y)^{-1} \cdot km$$

For each  $k$ , there is a unique solution  $a$  since  $x-y \neq 0$  and  $(x-y)^{-1}$  exists (since  $N$  is chosen prime)

So for  $2 \cdot \left\lceil \frac{N}{m} \right\rceil$  choices of  $k$ ,  
- there are  $\leq 2 \left\lceil \frac{N}{m} \right\rceil$  choices of  $a$

i.e.  $x$  and  $y$  collide for at most  $2 \frac{N}{m}$  hash functions out of  $N-1$  possible functions ( $a \neq 0$ ). So

Since  $\frac{2N}{m} \leq 2 \left(1 + \frac{1}{N-1}\right) \cdot \frac{N-1}{m}$

it is  $2 \left(1 + \frac{1}{N-1}\right)$  universal  $\sim 2$  universal  
since  $N$  is very large

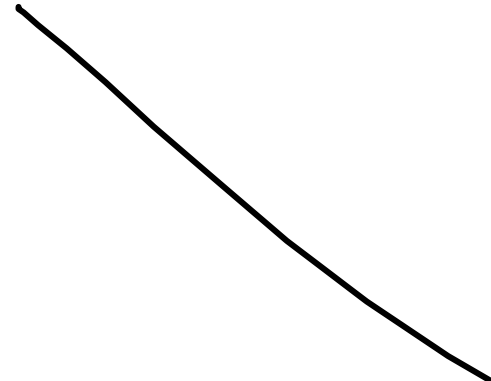
Fact: (Bertrand's postulate) There is at least one prime between  $k$  and  $2k$  for any integer  $k$ . So  $N$  can be chosen

For any subset  $S$ , the expected time for  $T$  operations is  $\leq cT$   
(from universal hash function)

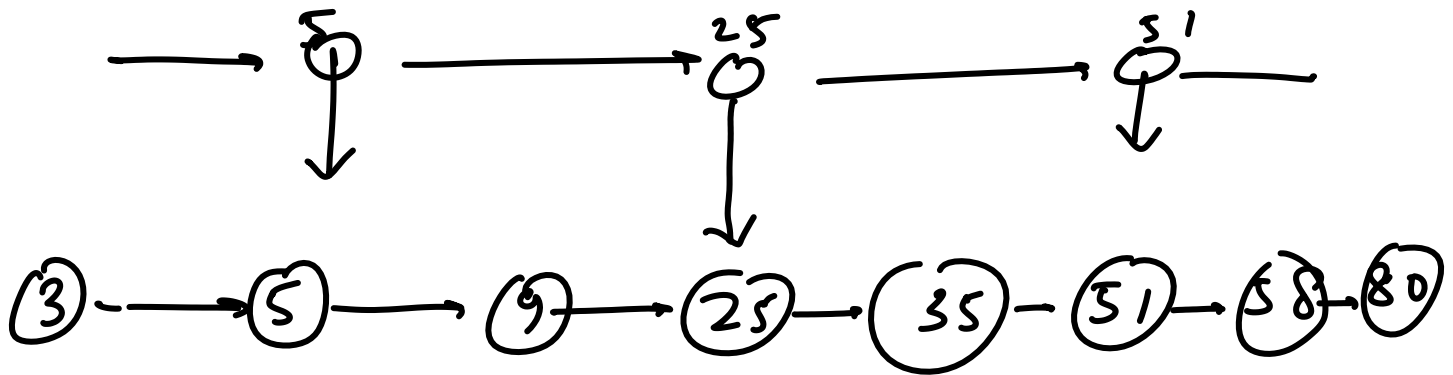
$\Rightarrow$  The prob that time will exceed  $2 \cdot c \cdot T \leq \frac{1}{2}$

(Markov's inequality)

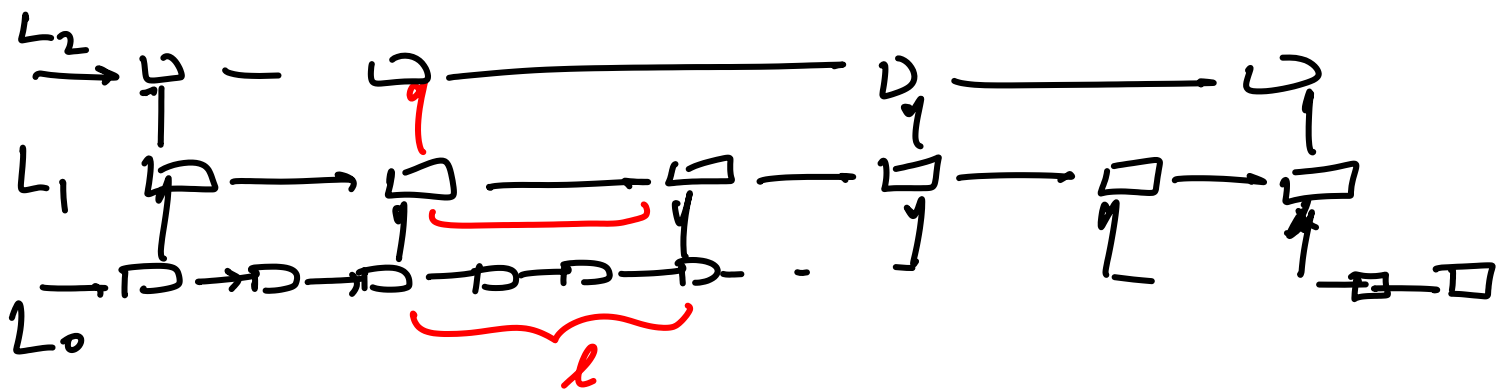
$\Rightarrow$  At least half the functions will behave well for  $S$ .



Skip Lists : an alternative dynamic dictionary data str.



20? Insert/Search/Delete would take similar time in an ordered list



$$L_0 \supset L_1 \supset L_2 \dots L_i \supset L_{i+1} \dots L_k$$

$|L_k|$  is relative small, we can do normal linked list search

$$\begin{aligned} \text{Time to search} &= |L_k| + \sum_i l_i \\ &\leq O(k) \text{ if } |l_i| \sim O(1) \end{aligned}$$