

Matroid theorem:  $M = (S, \mathcal{I})$  <sup>feasible subsets</sup>

The following are equivalent <sup>ground set</sup>

- ① Greedy works correctly for all wt functions
- ② Exchange property holds
- ③ Rank property holds

$$\text{①} \Rightarrow \text{②} \Rightarrow \text{③} \Rightarrow \text{①}$$

①  $\Rightarrow$  ② If greedy works then exchange property holds

$$S_1, S_2 : |S_1| < |S_2| \quad S_1, S_2 \in \mathcal{I}$$

Then  $\exists x \in S_2 - S_1$  s.t.  $S_1 \cup \{x\} \in \mathcal{I}$

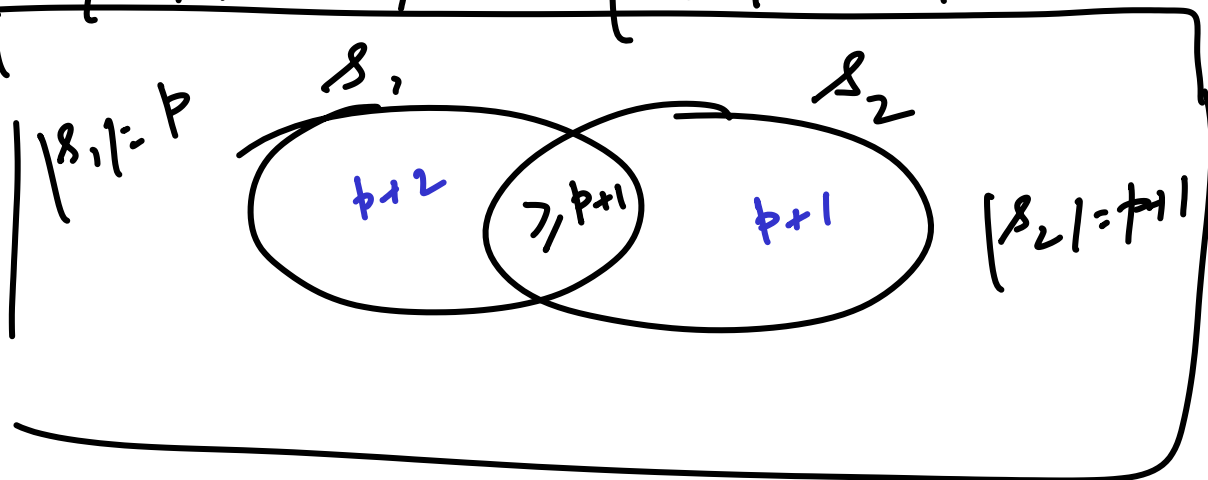
Wlog  $|S_2| = |S_1| + 1$

Proof by contradiction. Suppose exchange property does not hold for some pair  $S_1, S_2$

There is no element  $x \in S_2 - S_1$   
 s.t.  $S_1 \cup \{x\} \in \mathcal{J}$

We want to show that greedy fails for some wt function

$$|S_1| = p \quad |S_2| = p+1$$



$$w(e) = \begin{cases} p+2 & \text{if } e \in S_1 \\ p+1 & \text{if } e \in S_2 - S_1 \\ 0 & \text{otherwise} \end{cases}$$

Greedy soln :  $(p+2) \cdot p$

(No further element from  $S_2 - S_1$   
 can be added by greedy according  
 to our assumption that  
 exchange property doesn't hold)

The profit yield from picking all elements of  $S_2 \geq (p+1)^2$

Since  $(p+1)^2 > p^2 + 2p$  Contradiction that greedy works

(2)  $\Rightarrow$  (3) is obvious

(3)  $\Rightarrow$  (1) Rank property: For any subset

$A \subseteq S$  - that all maximal subsets of  $A$  have the same cardinality

Suppose rank property holds but greedy fails  
 Suppose greedy produces soln (in decreasing order of wt)

$e_1$	$e_2$	$e_3$	$\dots$	$e_j$	$\dots$	$e_k$
$e'_1$	$e'_2$	$e'_3$	$\dots$	$e'_j$	$\dots$	$e'_l$

better soln also in decreasing order of wt

$$\sum w(e_i) < \sum w(e'_i)$$

Because of the rank property  $k=l$

Let  $j$  be the smallest index  $\cdot \omega(e'_j) > \omega(e_j)$

$$A = \{x \mid \omega(x) \geq \omega(e'_j)\}$$

Note  $e_1, e_2, \dots, e_{j-1}$  is maximal in  $A$   
with cardinality  $(j-1)$

whereas  $\{e'_1, e'_2, \dots, e'_j\}$  has  
cardinality at least  $(j)$   $\rightarrow$  Contradiction

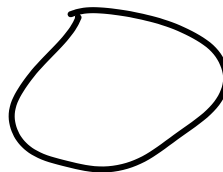
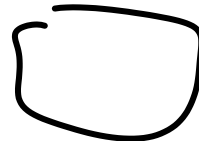
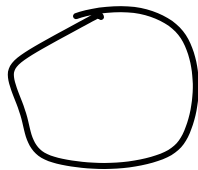
## Applications of the Matroid theorem

Maximal Spanning Tree/Forest

Rank properly holds?

Given a forest what is the

rank

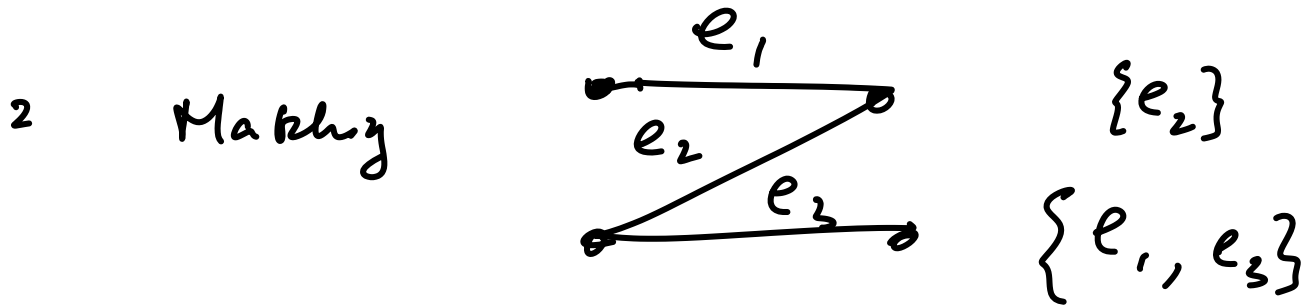


A forest with  
 $k$  components  
has rank

$$n - k$$

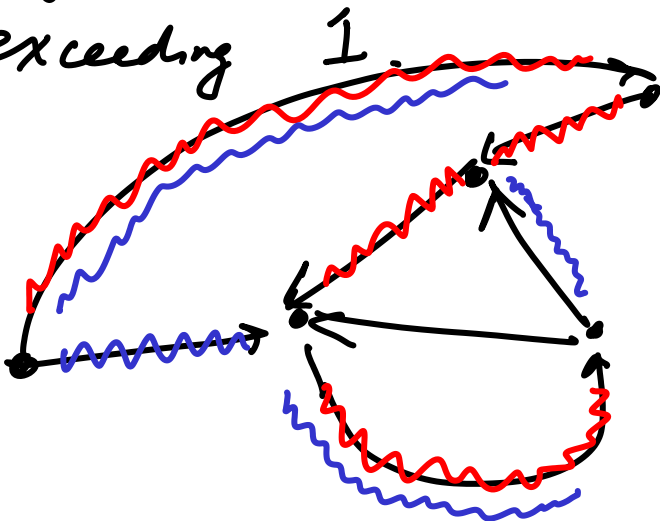
For a connected  
graph with  $n$   
vertices, the tree  
has  $n-1$  edges

1. Knapsack : Construct examples where rank property fails



Rank property fails

3. Half-matching : Given a directed weighted graph  $G = (V, E)$ , we want to pick a <sup>maximum weighted</sup> set of (directed) edges so that no vertex has indegree exceeding 1.



Exchange properly : Consider two independent  
subsets  $S_1, S_2$   $|S_1| = p$   $|S_2| = p+1$

Can we move some edge from  $S_2$  to  $S_1$ ,  
s.t. indegree of all vertices in  $S_1 \leq 1$

Observation : Let  $v_1, v_2$  be vertices in  $S_1, S_2$  resp  
with indegree = 1. Since  $|V_2| > |V_1|$ , one such  
edge can be shifted to  $S_1$  without increasing indegree to 2.

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What about minimizing the sum  
of weights, say minimum spanning tree?

Can we reduce this problem to an  
instance of the matroid.

Define a new wt function

$$w'(e_i) = W - w(e_i) \text{ where}$$

$W$  is the maximum wt

Now run the generic greedy and  
suppose it picks up wts

$\omega'_1, \omega'_2, \omega'_3 \dots \omega'_k$  sol

$\sum \omega'_i$  is maxm among all possible independent subset

$$\Rightarrow \sum (W - \omega'_i) = kW - \sum \omega'_i \text{ is maxm}$$

$\Rightarrow \sum \omega_i$  is minimum

because  $kW$  is a fixed quantity for the rank properly