# Regular Expressions and Finite State Automata

#### Mausam

(Based on slides by Jurafsky & Martin, Julia Hirschberg)

# Regular Expressions and Text Searching

- Everybody does it
  - Emacs, vi, perl, grep, etc...
- Regular expressions are a compact textual representation of a set of strings representing a language.

RE	Example Patterns Matched
/woodchucks/	"interesting links to woodchucks and lemurs"
/a/	"Mary Ann stopped by Mona's"
/Claire_says,/	""Dagmar, my gift please," Claire says,"
/DOROTHY/	"SURRENDER DOROTHY"
/!/	"You've left the burglar behind again!" said Nor

RE	Match	Example Patterns
/[wW]oodchuck/	Woodchuck or woodchuck	"Woodchuck"
/[abc]/	'a', 'b', or 'c'	"In uomini, in soldati"
/[1234567890]/	any digit	"plenty of <u>7</u> to 5"

RE	Match	Example Patterns Matched
/[A-Z]/	an upper case letter	"we should call it 'Drenched Blossoms' "
/[a-z]/	a lower case letter	"my beans were impatient to be hoed!"
/[0-9]/	a single digit	"Chapter 1: Down the Rabbit Hole"

RE	Match (single characters)	Example Patterns Matched
[^A-Z]	not an upper case letter	"Oyfn pripetchik"
[^Ss]	neither 'S' nor 's'	"I have no exquisite reason for't"
[ ^ \ . ]	not a period	"our resident Djinn"
[e^]	either 'e' or '^'	"look up _ now"
a^b	the pattern 'a^b'	"look up <u>a^ b</u> now"

# Regular Expressions: ? \* + .

Pattern	Matches	
colou?r	Optional previous char	<u>color</u> <u>colour</u>
oo*h!	0 or more of previous char	oh! ooh! oooh!
o+h!	1 or more of previous char	oh! ooh! oooh!
baa+		<u>baa baaa</u> <u>baaaaa</u>
beg.n		begin begun beg3n



Stephen C Kleene

Kleene \*, Kleene -

# Regular Expressions: Anchors ^ \$

Pattern	Matches
^[A-Z]	Palo Alto
^[^A-Za-z]	1 "Hello"
\.\$	The end.
. \$	The end? The end!

RE	Expansion	Match	Examples
\d	[0-9]	any digit	Party_of_5
\D	[^0-9]	any non-digit	Blue_moon
/w	[a-zA-Z0-9_]	any alphanumeric/underscore	<u>D</u> aiyu
\W	[^\w]	a non-alphanumeric	<u>!</u> !!!
\s	[	whitespace (space, tab)	
\S	[^\s]	Non-whitespace	<u>i</u> n_Concord

RE	Match	Example Patterns Matched
\*	an asterisk "*"	"K <u>*</u> A*P*L*A*N"
١.	a period "."	"Dr. Livingston, I presume"
/3	a question mark	"Why don't they come and lend a hand?"
\n	a newline	
\t	a tab	

## **Example**

- Find all the instances of the word "the" in a text.
  - /the/
  - /[tT]he/
  - /\b[tT]he\b/
  - $[^a-zA-Z][tT]he[^a-zA-Z]$
  - (^|[^a-zA-Z])[tT]he(\$|[^a-zA-Z])

#### **Errors**

- The process we just went through was based on two fixing kinds of errors
  - Matching strings that we should not have matched (there, then, other)
    - False positives (Type I)
  - Not matching things that we should have matched (The)
    - False negatives (Type II)

#### **Errors**

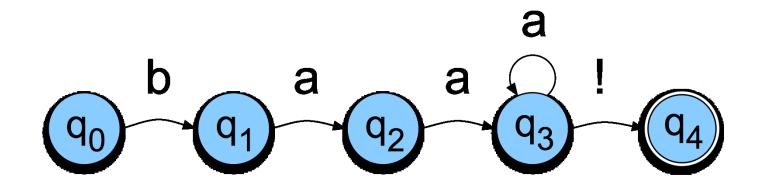
- We'll be telling the same story for many tasks, all semester. Reducing the error rate for an application often involves two antagonistic efforts:
  - Increasing accuracy, or precision, (minimizing false positives)
  - Increasing coverage, or recall, (minimizing false negatives).

#### **Finite State Automata**

- Regular expressions can be viewed as a textual way of specifying the structure of finite-state automata.
- FSAs capture significant aspects of what linguists say we need for morphology and parts of syntax.

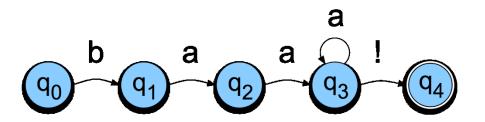
# **FSAs as Graphs**

- Let's start with the sheep language from Chapter 2
  - ♦ /baa+!/



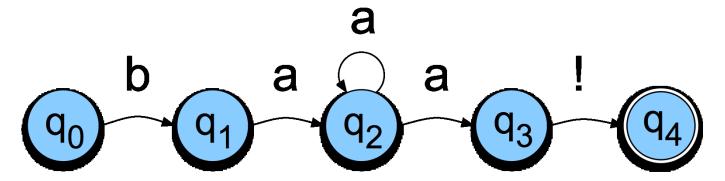
# **Sheep FSA**

- We can say the following things about this machine
  - It has 5 states
  - b, a, and ! are in its alphabet
  - q<sub>0</sub> is the start state
  - q<sub>4</sub> is an accept state
  - It has 5 transitions



#### **But Note**

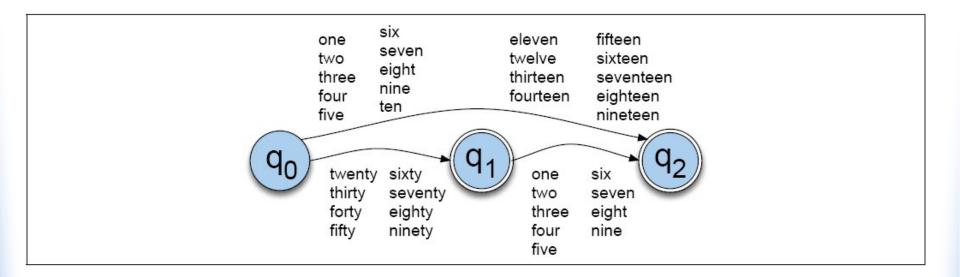
 There are other machines that correspond to this same language



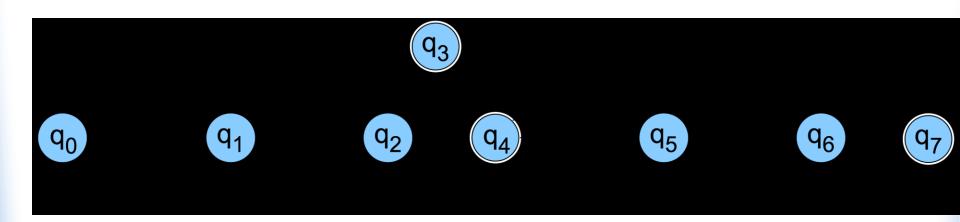
## **More Formally**

- You can specify an FSA by enumerating the following things.
  - The set of states: Q
  - A finite alphabet: Σ
  - A start state
  - A set of accept/final states
  - A transition function that maps QxΣ to Q

#### **Dollars and Cents**



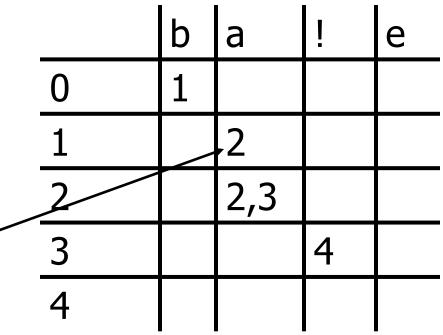
#### **Dollars and Cents**

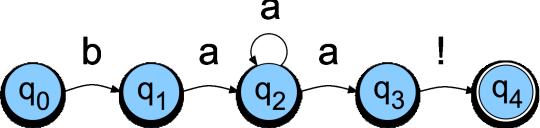


#### **Yet Another View**

 The guts of FSAs can ultimately be represented as tables

If you're in state 1 and you're looking at an a, go to state 2



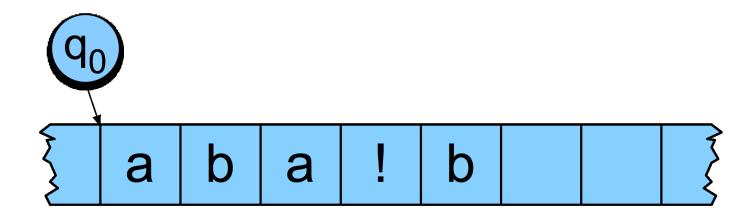


## Recognition

- Recognition is the process of determining if a string should be accepted by a machine
- Or... it's the process of determining if a string is in the language we're defining with the machine
- Or... it's the process of determining if a regular expression matches a string
- Those all amount the same thing in the end

## Recognition

 Traditionally, (Turing's notion) this process is depicted with a tape.



### Recognition

- Simply a process of starting in the start state
- Examining the current input
- Consulting the table
- Going to a new state and updating the tape pointer.
- Until you run out of tape.

## **D-Recognize**

**function** D-RECOGNIZE(tape, machine) **returns** accept or reject *index* ← Beginning of tape *current-state* ← Initial state of machine loop if End of input has been reached then if current-state is an accept state then return accept else return reject **elsif** transition-table[current-state,tape[index]] is empty **then** return reject else current-state  $\leftarrow$  transition-table[current-state,tape[index]]  $index \leftarrow index + 1$ end

# **Key Points**

- Deterministic means that at each point in processing there is always one unique thing to do (no choices).
- D-recognize is a simple table-driven interpreter
- The algorithm is universal for all unambiguous regular languages.
  - To change the machine, you simply change the table.

## **Key Points**

- Crudely therefore... matching strings with regular expressions (ala Perl, grep, etc.) is a matter of
  - translating the regular expression into a machine (a table) and
  - passing the table and the string to an interpreter

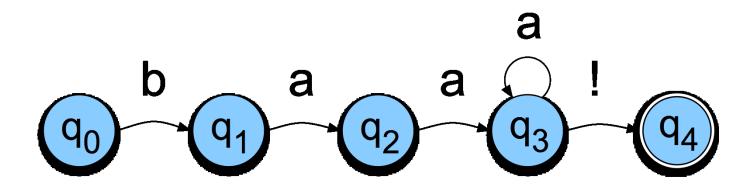
#### **Generative Formalisms**

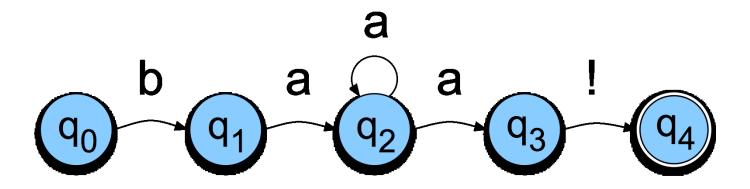
- Formal Languages are sets of strings composed of symbols from a finite set of symbols.
- Finite-state automata define formal languages (without having to enumerate all the strings in the language)
- The term *Generative* is based on the view that you can run the machine as a generator to get strings from the language.

#### **Generative Formalisms**

- FSAs can be viewed from two perspectives:
  - Acceptors that can tell you if a string is in the language
  - Generators to produce all and only the strings in the language

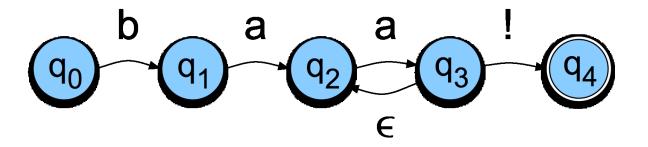
### **Non-Determinism**





#### Non-Determinism cont.

- Yet another technique
  - Epsilon transitions
  - Key point: these transitions do not examine or advance the tape during recognition



# **Equivalence**

- Non-deterministic machines can be converted to deterministic ones with a fairly simple construction
- That means that they have the same power; non-deterministic machines are not more powerful than deterministic ones in terms of the languages they can accept

# **ND** Recognition

- Two basic approaches (used in all major implementations of regular expressions, see Friedl 2006)
  - Either take a ND machine and convert it to a D machine and then do recognition with that.
  - 2. Or explicitly manage the process of recognition as a state-space search (leaving the machine as is).

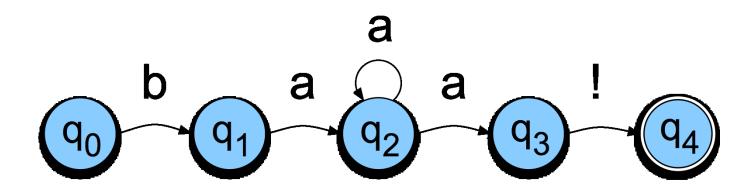
# **Non-Deterministic Recognition: Search**

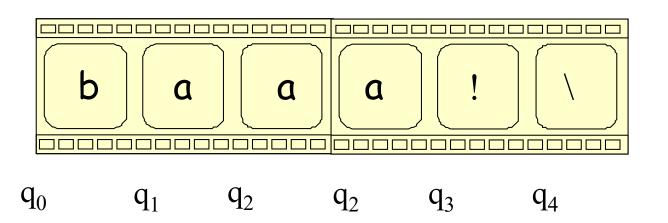
- In a ND FSA there exists at least one path through the machine for a string that is in the language defined by the machine.
- But not all paths directed through the machine for an accept string lead to an accept state.
- No paths through the machine lead to an accept state for a string not in the language.

# Non-Deterministic Recognition

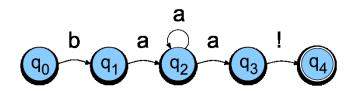
- So success in non-deterministic recognition occurs when a path is found through the machine that ends in an accept.
- Failure occurs when all of the possible paths for a given string lead to failure.

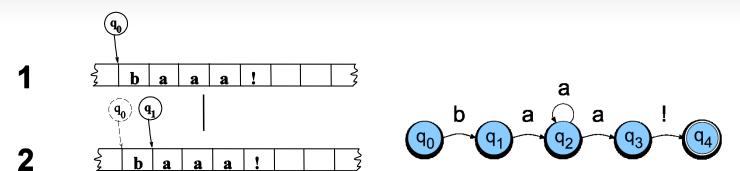
# Example

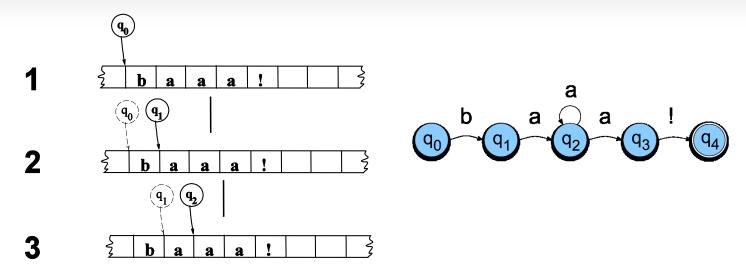


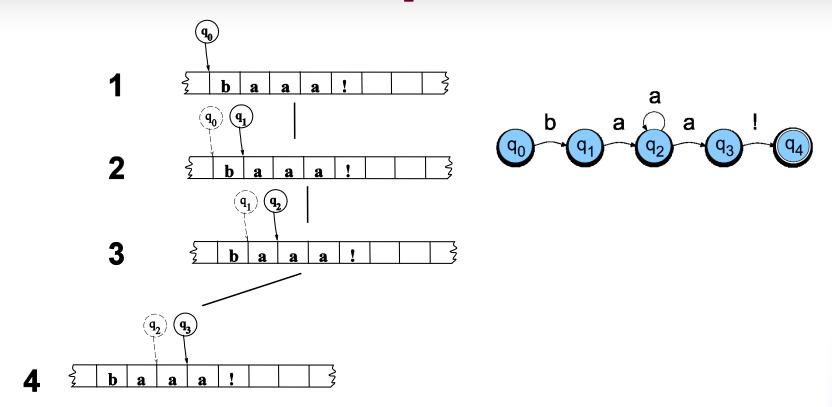


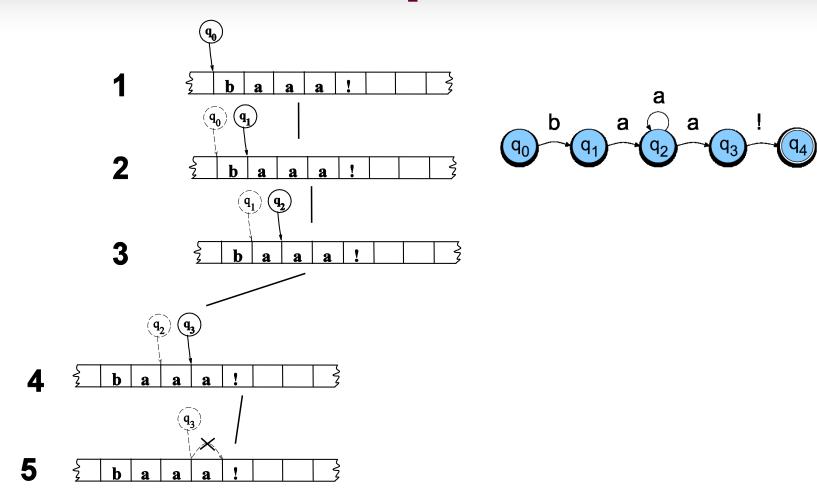
1 2 b a a a ! 3

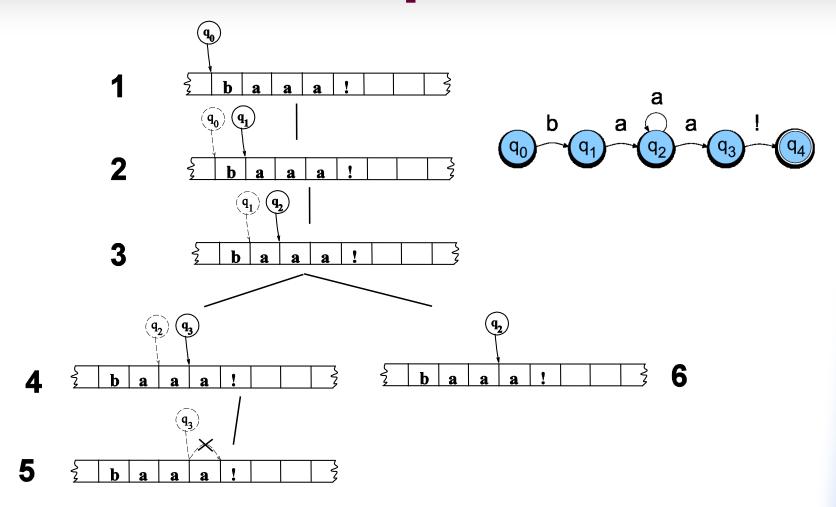


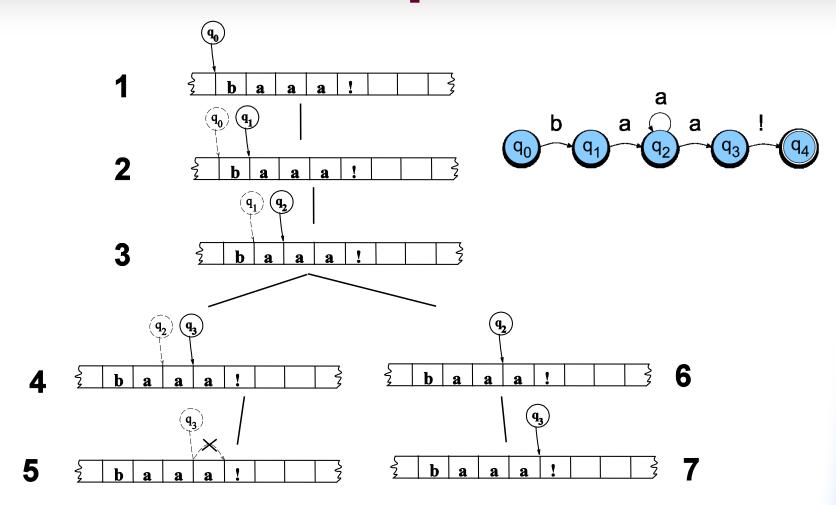


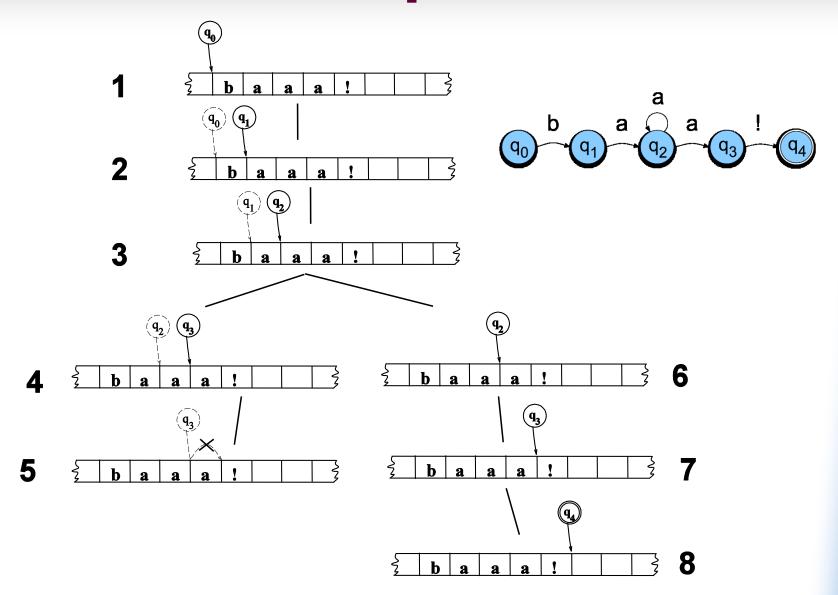












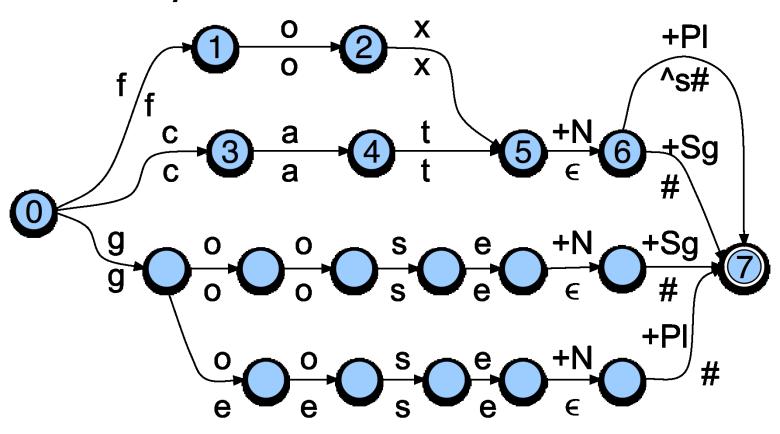
## **Key Points**

- States in the search space are pairings of tape positions and states in the machine.
- By keeping track of as yet unexplored states, a recognizer can systematically explore all the paths through the machine given an input.

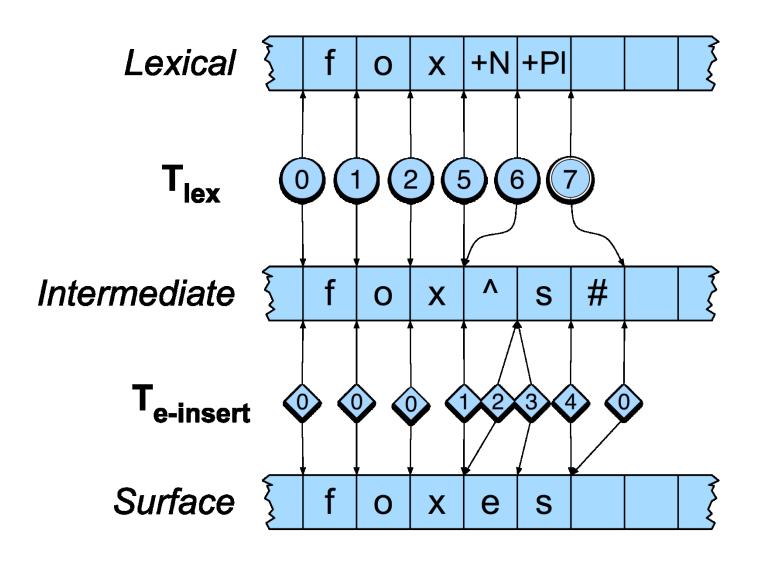
## FSTs (Contd)

# FST Fragment: Lexical to Intermediate

 ^ is morpheme boundary; # is word boundary



## **Putting Them Together**



#### **Practical Uses**

- This kind of parsing is normally called morphological analysis
- Can be
  - An important stand-alone component of an application (spelling correction, information retrieval, part-of-speech tagging,...)
  - Or simply a link in a chain of processing (machine translation, parsing,...)

#### **FST-based Tokenization**

```
#!/usr/bin/perl
$letternumber = "[A-Za-z0-91";
notletter = "[^A-Za-z0-9]";
$alwayssep = "[\\?!()\";/\\|']";
$clitic = "('|:|-|'S|'D|'M|'LL|'RE|'VE|N'T|'s|'d|'m|'ll|'re|'ve|n't)";
$abbr{"Co."} = 1; $abbr{"Dr."} = 1; $abbr{"Jan."} = 1; $abbr{"Feb."} = 1;
while ($line = <>){ # read the next line from standard input
    # put whitespace around unambiguous separators
    $line = s/$alwayssep/ $& /q;
    # put whitespace around commas that aren't inside numbers
    \frac{1}{9} \sin = \frac{3}{([0-9])}, \frac{3}{1}, \frac{7}{9};
    sline = s/,([^0-9])/, s1/q;
    # distinguish singlequotes from apostrophes by
    # segmenting off single quotes not preceded by letter
    $line = s/'/$& /q;
    $line = s/($notletter)'/$1 '/q;
    # segment off unambiguous word-final clitics and punctuation
    $line = s/$clitic$/ $&/q;
    $line = s/$clitic($notletter)/ $1 $2/q;
   # now deal with periods. For each possible word
   @possiblewords=split(/\s+/,$line);
   foreach $word (@possiblewords) {
      # if it ends in a period,
      if ((Sword = /Sletternumber\./)
             && !($abbr{$word}) # and isn't on the abbreviation list
                # and isn't a sequence of letters and periods (U.S.)
                # and doesn't resemble an abbreviation (no vowels: Inc.)
             && ! ($word =~
                 /^([A-Za-z]\.([A-Za-z]\.)+ [A-Z][bcdfqhj-nptvxz]+\.)$/)) {
          # then segment off the period
          $word = s/\.$/ \./;
      # expand clitics
      $word = s/'ve/have/;
      $word = s/'m/am/;
      print $word," ";
print "\n";
```

## Porter Stemmer (1980)

Common algorithm for stemming English

- Conventions + 5 phases of reductions
  - phases applied sequentially
  - each phase consists of a set of commands
  - sample convention: Of the rules in a compound command, select the one that applies to the longest suffix.

## Porter Stemmer (1980)

- Standard, very popular and usable stemmer (IR, IE) – identify a word's stem
- Sequence of cascaded rewrite rules, e.g.
  - IZE  $\rightarrow \epsilon$  (e.g. unionize  $\rightarrow$  union)
  - ◆ CY → T (e.g. frequency → frequent)
  - ING  $\rightarrow \epsilon$  , if stem contains vowel (motoring  $\rightarrow$  motor)
- Can be implemented as a lexicon-free FST (many implementations available on the web)
- http://text-processing.com/demo/stem/

#### Eliza

User<sub>1</sub>: Men are all alike.

ELIZA<sub>1</sub>: IN WHAT WAY

User<sub>2</sub>: They're always bugging us about something or other.

ELIZA2: CAN YOU THINK OF A SPECIFIC EXAMPLE

User<sub>3</sub>: Well, my boyfriend made me come here.

ELIZA3: YOUR BOYFRIEND MADE YOU COME HERE

User<sub>4</sub>: He says I'm depressed much of the time.

ELIZA<sub>4</sub>: I AM SORRY TO HEAR YOU ARE DEPRESSED

#### Eliza FST

```
s/.* I'M (depressed|sad) .*/I AM SORRY TO HEAR YOU ARE \1/
s/.* I AM (depressed|sad) .*/WHY DO YOU THINK YOU ARE \1/
s/.* all .*/IN WHAT WAY/
s/.* always .*/CAN YOU THINK OF A SPECIFIC EXAMPLE/
```

## RelNoun: Nominal Open IE

Constructions	Phrase	Extraction
Verb1	Francis Collins is the director of NIH	(Francis Collins; is the director of; NIH)
Verb2	the director of NIH is Francis Collins	(Francis Collins; is the director of; NIH)
Appositive1	Francis Collins, the director of NIH	(Francis Collins; [is] the director of; NIH)
Appositive2	the director of NIH, Francis Collins,	(Francis Collins; [is] the director of; NIH)
Appositive3	Francis Collins, the NIH director	(Francis Collins; [is] the director [of]; NIH)
AppositiveTitle	Francis Collins, the director,	(Francis Collins; [is]; the director)
CompoundNoun	NIH director Francis Collins	(Francis Collins; [is] director [of]; NIH)
Possessive	NIH's director Francis Collins	(Francis Collins; [is] director [of]; NIH)
PossessiveAppositive	NIH's director, Francis Collins	(Francis Collins; [is] director [of]; NIH)
AppositivePossessive	Francis Collins, NIH's director	(Francis Collins; [is] director [of]; NIH)
PossessiveVerb	NIH's director is Francis Collins	(Francis Collins; is director [of]; NIH)
VerbPossessive	Francis Collins is NIH's director	(Francis Collins; is director [of]; NIH)

## Compound Noun Extraction Baseline

NIH Director Francis Collins

(Francis Collins, is the Director of, NIH)

- Challenges
  - New York Banker Association

DEMONYMS

**ORG NAMES** 

German Chancellor Angela Merkel

Prime Minister Modi

◆ GM Vice Chairman Bob Lutz

COMPOUND RELATIONAL NOUNS

## Rule-Based System

Classifies and filters orgs

- List of demonyms
  - appropriate location conversion

- Bootstrap a list of relational noun prefixes
  - vice, ex, health, ...

## **Summing Up**

- Regular expressions and FSAs can represent subsets of natural language as well as regular languages
  - Both representations may be difficult for humans to use for any real subset of a language
  - But quick, powerful and easy to use for small problems
- Finite state transducers and rules are common ways to incorporate linguistic ideas in NLP for small applications

Particularly useful for no data setting